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PATENT

Attorney Docket No. 3070

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
LUCOVSKY et al.

Group Art Unit: 2176

Serial No. 10/017,680

Examiner: Not Assigned

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Filed: October 22, 2001

MAY 27 2004

For: Schema-Based Services for Identity-Based  
Data Access

Technology Center 2100

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Supplemental Information Disclosure Statement is believed to be filed before the mailing date of a first Office action on the merits. Accordingly, no fee is owed by applicant. If, however, an Office action has been issued, the Patent Office is hereby authorized to charge any underpayments associated with this submission to Deposit Account 50-1618.

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CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, cited references, and PTO form 1449 are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date: May 25, 2004

By:

Albert S. Michalik

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3070	SERIAL NO. 10/017,680
 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		APPLICANT LUCOVSKY et al.	
		FILING DATE October 22, 2001	GROUP 2176

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AD	6,044,372	3/28/2000	Rothfus et al.	<b>RECEIVED</b> <b>MAY 27 2004</b> <b>Technology Center 2100</b>		July 18, 1997
	AE	6,148,301	11/14/2000	Rosenthal			July 2, 1998
	AF	6,414,635 B1	July 7, 2002	Stewart et al.			Oct. 23, 2000
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		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO*

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
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EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	AU	6,401,085 B1	June 4, 2002	Gersham et al.			Mar. 5, 1999
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							YES	NO*

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